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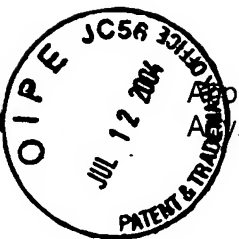
TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/822,357	
	Filing Date	April 12, 2004	
	First Named Inventor	CRANE et al.	
	Art Unit	3744	
	Examiner Name	N/A	
Total Number of Pages in This Submission	7	Attorney Docket Number	20712-0089

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> Return Receipt Postcard; Certificate of Mailing
<div>Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	McNees Wallace & Nurick LLC Brian T. Sattizahn
Signature	<i>B-T Sattizahn</i>
Date	July 7, 2004

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Typed or printed name	Kimberly A. Newell		
Signature	<i>Kimberly A. Newell</i>	Date	July 7, 2004

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Application No.: 10/822,357
Atty. Docket No. 20712-0089

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application : CRANE et al.) Group Art Unit: 3744
Application No.: 10/822,357) Examiner: N/A
Filed: April 12, 2004) Atty. Docket No. 20712-0089
For: **STARTUP CONTROL SYSTEM AND METHOD FOR A MULTIPLE
COMPRESSOR CHILLER SYSTEM**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

SIR:

The following are included with this Information Disclosure Statement:

- ☒ **A. PTO/SB/08**
- ☐ **B. CERTIFICATION**
(37 C.F.R. 1.97(c)-before final Office Action or allowance)
- ☐ **C. \$180.00 FEE PAYMENT**
(37 C.F.R. 1.97(c)-before final Office Action or allowance)
- ☐ **D. \$180.00 PETITION, CERTIFICATION & PETITION FEE
PAYMENT**
(37 C.F.R. 1.97(d)-before issue fee payment)

The following are submitted in the above-identified application in
compliance with 37 CFR 1.98:

- ☐ **I. A copy of each listed U.S. Patent and U.S. Patent application
publication [1.98(a)(2)(i)].**
- ☐ **II. A copy of each listed foreign patent and/or published foreign patent
application. For each listed foreign patent and/or published foreign
patent application [1.98(a)(2)]:**
- ☐ **a) the English language version or an English translation if not
published in English, if available (see PTO-1449)[1.98(a)(3)];
and/or**

- ☐ b) U.S. counterpart patents or English language equivalents of the foreign patents or published foreign patent applications (see PTO-1449); and/or
- ☐ c) a concise explanation of the relevance of the listed patents, publications or other information (see PTO-1449, foreign search report or other, attached), as it is presently understood by the person signing this disclosure, since no English translation is currently available[1.98(a)(3)].
- ☐ III. A copy of each listed publication, other than published foreign applications. For each listed publication [1.98(a)(2)]:
 - ☐ (a) an English translation, if available, when the original publication is not in the English language (see PTO-1449)[1.98(a)(3)];
 - ☐ (b) a concise explanation of the listed publications (see PTO-1449), as it is presently understood by the person signing this disclosure, since no English translation is currently available [1.98(a)(3)].
- ☐ IV. For any other listed information, a copy of the information or that portion which caused it to be listed (see PTO-1449)[1.98(a)(2)(iii)].
- ☐ V. Each listed U.S. Patent, Publication or other information that was previously cited by or submitted to the Office in a prior application, which application is relied upon for an earlier filing date under 35 U.S.C. § 120 [1.98(d)].
- ☒ VI. Copies of each listed U.S. Patent and U.S. Patent application publication are not being submitted herewith pursuant to a waiver of 37 CFR 1.98(a)(2)(i) by the USPTO on July 11, 2003 for applications filed after June 30, 2003.

This information is submitted in the above-identified application in compliance with 37 C.F.R. 1.97 because:

- ☒ 1. This information disclosure is submitted within three months of the filing date of the application, or before the mailing date of the first office action on the merits of the application [1.97(b)].
- ☐ 2. This information disclosure is submitted before the mailing date of a final action in this application under 1.113[1.97(c)] and the requisite statement or fee is provided (see items 6 or 7 below).

- ☐ 3. This information disclosure is submitted before the mailing date of a notice of allowance under 1.311 [1.97(c)] and the requisite statement or fee is provided (see items 6 or 7 below).
- ☐ 4. This information disclosure is submitted after the mailing date of a final action under 1.113 [1.97(d)] and the requisite statement and fee is provided (see items 6 and 8 below).
- ☐ 5. This information disclosure is submitted after the mailing date of a notice of allowance under 1.311, but before the payment of the issue fee [1.97(d)] and the requisite statement and fee is provided (see items 6 and 8 below).
- ☐ 6. This information disclosure statement is submitted with the statement required for items 2, 3, 4 or 5 above [1.97(c), (d), (e)]:
- ☐ I, **Brian T. Sattizahn**, hereby state the following:
- ☐ **Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.**
- ☐ **No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this information disclosure was known to any individual designated in 1.56(c) more than three months prior to the filing of this information disclosure statement.**
- ☐ 7. This information disclosure statement is submitted with the fee of \$180 set forth in 1.17(p) [see items 2 and 3 above]. Please charge this fee and any other fees associated with this application to Deposit Account No. 50-1059. (Please note, no fee is required for items 2 and 3 above if the Item 6 statement is provided).

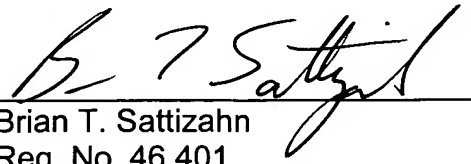
Application No.: 10/822,357
Atty. Docket No. 20712-0089

- ☐ 8. Please charge this fee and any other fees associated with this application to Deposit Account No. 50-1059.

Dated: July 7, 2004

Respectfully submitted,

McNees Wallace & Nurick LLC



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Certificate of Mailing under 37 CFR 1.8

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Information Disclosure Statement (4 pages)
PTO/SB/08

Attorney Docket No.: 20712-0089
Application No.: 10/822,357 FILED: April 12, 2004

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/822,357
Filing Date	April 12, 2004
First Named Inventor	CRANE et al.
Art Unit	3744
Examiner Name	N/A
Attorney Docket Number	20712-0089

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	A	US-6,540,148 B1	04/01/2003	Salsbury et al.	
	B	US- 6,463,748 B1	10/15/2002	Benedict et al.	
	C	US- 6,233,954 B1	05/22/2001	Mehaffey et al.	
	D	US- 6,185,946 B1	02/13/2001	Hartman	
	E	US- 6,035,651	03/14/2000	Carey	
	F	US- 5,669,225	09/23/1997	Beaverson et al.	
	G	US- 5,343,384	08/30/1994	Fisher et al.	
	H	US- 4,947,655	08/14/1990	Shaw	
	I	US- 4,614,089	09/30/1986	Dorsey	
	J	US- 4,562,531	12/31/1985	Enterline et al.	
	K	US- 4,487,028	12/11/1984	Foye	
	L	US- 4,483,152	11/20/1984	Bitondo	
	M	US- 4,384,462	05/24/1983	Overman et al.	
	N	US- 4,210,957	07/01/1980	Spethmann	
	O	US- 4,152,902	05/08/1979	Lush	
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FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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